

BE MORE WITH AN ICA QUALIFICATION

*Anti Money Laundering
Governance, Risk and Compliance
Financial Crime Prevention*

Inspiring times for regulatory and financial crime compliance professionals

As our founder and President, Bill Howarth says, *'compliance professionals are now at the very heart of the business and it is our job to support them to be the best they can be.'*

Whether you are thinking about starting a new career in this area or are an experienced practitioner looking for the tools and recognition to carry out your role with confidence, the International Compliance Association is here to support you in the following three ways:

Knowledge

Knowledge is the fuel that drives the highest levels of professionalism. ICA qualifications are recognised as the global benchmark of excellence, designed to enable you to think more, perform better and excel in your field.

Recognition

Being a member of ICA and gaining one of our respected qualifications demonstrates your commitment to ongoing learning, best practice and ethical behaviour, and therefore enhances your professional reputation.

Community

A powerful benefit, as reported by our members, is the opportunity to meet fellow professionals to share insights and ideas. You will be able to connect, collaborate and form important networks.

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Why a professional qualification?

Investing in your future with a professional qualification takes both time and effort, but the results are worthwhile.

You'll perform better

ICA qualifications are not just focused on knowledge delivery but real-world application. You will gain valuable critical thinking skills and practical tools to excel in your role. You'll improve your career options...

The professional recognition that you'll gain from an ICA qualification will open doors for you. Many students have said that they received a promotion or were able to move to a higher paid job as a direct result of gaining their qualification.

...or have the chance to begin a new career

A professional qualification speaks volumes to prospective employers, giving you an advantage over non-qualified applicants. You will be demonstrating your desire to develop your subject matter knowledge as well as a commitment to your new career choice.

You'll help reduce risk at your firm

The courses will enable you to make informed judgements, helping you to develop strategies to manage and prevent regulatory, criminal, reputational and financial risk.



Which course is right for me?

First, you'll need to:

- **select the topic you're interested in (this could be a personal or professional interest)**
- **understand the differences between the academic levels of the qualifications**
- **check that you meet the entry criteria**
- **consider your previous experience and future aspirations.**

Certificates (introductory level) are designed for those with little or no knowledge of the subject area. They provide a strong foundation in understanding the key concepts and issues involved and are an excellent base from which to progress onto courses at a higher level.

Specialist Certificates are perfect for those wanting detailed yet accessible knowledge in niche areas of compliance and money laundering risk e.g. betting and gaming and conduct risk.

Advanced Certificates (intermediate level) are designed for those new to a discipline or in a junior role and will help expand your knowledge and skills.

Diplomas (advanced level) are primarily designed for those who already hold some relevant experience and are looking to develop more specialist skills in the management of risk.

Professional Postgraduate Diplomas (expert level) are designed to build on levels of experience of five years or more at a senior level. The courses will develop your skills in business strategy, critical thinking and leadership.

You will need to consider the time and cost implications of each qualification to ensure it fits in with your schedule and career aspirations. Whichever course you choose, you are sure to find it interesting and rewarding.

ICA Certificates and Diplomas

- A benchmark of competence and excellence
- Highly practical, giving you skills that can be transferred easily into the workplace
- Awarded in association with Alliance Manchester Business School, The University of Manchester
- Internationally recognised by employers and regulators

“The ICA International Diploma in anti money laundering is a fully comprehensive course covering all aspects of AML, both on a domestic and international level. People who want to advance their career should take this diploma as it will provide them with all the tools they need. Teachers were well informed and kept everything interactive.

Andrew Aitken, Financial Crime Consultant

Introductory



Specialist



Intermediate



Advanced



Expert



ICA Certificates

- Introductory level
- Open to anyone wishing to learn more about the subject
- Up to three months to complete the course
- One-day workshop (where offered)
- One-hour multiple-choice exam taken online

“Since completing the course, I have had a much greater understanding and awareness when carrying out my role.
Dean Smith, Compliance Assistant

Visit www.int-comp.org/syllabus to download a full course syllabus

ICA Certificate in Anti Money Laundering

- Understanding money laundering, terrorist financing and sanctions
- Vulnerabilities of financial institutions to money laundering and terrorist financing
- Anti money laundering and combating terrorist financing in practice
- Anti money laundering and combating terrorist financing – legal and regulatory structures
- Management obligations and the risk-based approach to money laundering and terrorist financing

ICA Certificate in KYC and CDD

- CDD – why bother?
- The risk-based approach to CDD
- Key CDD concepts
- Core KYC frameworks
- What it's like working in KYC

ICA Certificate in Compliance

- Understanding the regulatory environment
- Regulation in practice
- Compliance in practice
- Anti money laundering
- Financial crime prevention
- Managing risk

ICA Certificate in Financial Crime Prevention

- What is financial crime?
- What are the financial crime risks?
- Fraud controls
- Banking – fraud typologies
- Identity theft and electronic crime
- Bribery and corruption
- Fraud response policy
- The English legal system – a case study

ICA Certificate in Managing Sanctions Risk

- Understanding sanctions
- The international context
- Defining a sanctions governance framework
- Sanctions lists and screening
- Managing alert investigations
- The cost of getting it wrong
- The challenges of change

ICA Specialist Certificates

- Detailed yet accessible knowledge in niche areas of risk
- Open to anyone wishing to learn more about the subject
- Up to three months to complete the course
- Online learning
- One-hour multiple-choice exam taken online

Visit www.int-comp.org/syllabus to download a full course syllabus

ICA Specialist Certificate in Anti-Corruption

- Essential concepts in anti-corruption
- The consequences of corruption
- Shining a spotlight on corruption
- Introduction to the US Foreign Corrupt Practices Act and the UK Bribery Act
- The enforcement of global anti-corruption legislation
- Key issues in assessing corruption risk
- Achieving anti-corruption compliance

ICA Specialist Certificate in Combating the Financing of Terrorism

- Introduction to terrorism
- Hierarchy of international and regional legislative and regulatory framework
- Terrorism threats and risk assessment
- Risk management
- When things go wrong!
- Key international terrorist group details
- Red flag indicators

ICA Specialist Certificate in Conduct Risk

- Understanding conduct risk
- What does good conduct risk look like for a firm?
- What does good conduct risk look like for customers?
- The importance of good market conduct
- Impacts of poor market conduct

ICA Specialist Certificate in Trade Based Money Laundering

- International trade and receivables finance
- Introduction to money laundering, terrorist financing and proliferation
- International laws, regulations and industry guidance
- Managing risk
- Money laundering typologies
- Terrorist financing, resourcing and sanctions
- Further financial crime risk considerations

ICA Specialist Certificate in Money Laundering Risk in Correspondent Banking

- Essential AML concepts
- Fundamentals of correspondent banking
- Regulatory frameworks
- Money laundering risks inherent in correspondent banking
- Approaching due diligence in correspondent banking
- Monitoring
- Sanctions

ICA Specialist Certificate in Money Laundering Risk in Private Banking

- Essential AML concepts
- Risk management
- Vulnerabilities of private banking products and services
- CDD, EDD and KYC for private banking
- Tax crimes, corruption and sanctions in private banking
- Providing effective AML training

ICA Specialist Certificate in Money Laundering Risk in Betting and Gaming

- Essential AML concepts
- The gambling market – a summary
- Money laundering risks in gambling
- Risk-based approach, culture and governance
- AML in operation
- Market outlook

ICA Specialist Certificate in Financial Crime Risk in Global Banking and Markets

- Corporate banking and financial market environment
- Regulatory environment, risks and impacts on the world of corporate banking
- Risk exposure and typologies around specific customer types
- Foreign exchange, securities, derivatives and their susceptibility to financial crime
- CDD process and its role in the financial crime risk management lifecycle

ICA Specialist Certificate in Financial Crime Risk in Mobile Financial Services

- Essential financial crime concepts
- Mobile financial services in practice
- Mobile financial services and the risk-based approach
- Mobile financial services and CDD
- The future of mobile financial services – advances and regulations

ICA Specialist Certificate in Money Laundering Risk in New Technology

- Fundamentals of new technologies
- New technologies in practice
- Regtech the use of emerging technology in regulatory compliance
- Focus on cryptocurrency
- The digital revolution - challenge or opportunity?

It was without a shadow of a doubt the best choice I have ever made. What I liked the most was the opportunity to show what I'd learned through the assignment.

Raphael G Correia, Brazil

ICA Advanced Certificates

- Intermediate level
- Open to anyone wishing to learn more about the subject
- Six months' duration
- Two/three workshops (where offered)
- Two written assignments (2,000–2,500 words)*

*The ICA Advanced Certificate in Practical CDD is assessed by a three-hour practical examination. There is no written assignment for this course.

Visit www.int-comp.org/syllabus to download a full course syllabus

ICA Advanced Certificate in Managing Fraud

- Introduction to fraud
- International context
- Global anti-fraud frameworks
- Understanding risks
- The 'fraudster'
- Formulating an effective counter-fraud strategy
- Managing the response to fraud
- The future of fraud

ICA Advanced Certificate in Business Compliance

- Compliance in business
- Compliance in practice
- The role of the compliance function
- Risk management
- Compliance topics, improvement and advantage

ICA Advanced Certificate in Legal Compliance

- The regulatory framework for solicitors in England and Wales
- Regulation in practice
- The role of the compliance officers in law firms
- Understanding the regulator's key principles
- Understanding legislation of relevance to the compliance function

ICA International Advanced Certificate in Regulatory Compliance

- Understanding the regulatory environment
- International regulation
- Compliance in practice
- Managing the risk of financial crime compliance

ICA International Advanced Certificate in Managing Sanctions Risk

- Global sanctions architecture – political, legal and implementation context
- Evolving scope of sanctions – a changing tool
- Management of global exposure to unilateral and regional sanctions
- Identifying higher risk jurisdictions and activities
- Transparency, control and ownership
- Licensing and permissible payments
- Developing the control framework
- Incident management and holding of sanction funds
- Sanctions lifting and roll back

ICA International Advanced Certificate in Anti Money Laundering

- The international context
- Legal and regulatory frameworks
- AML in practice
- Sanctions
- Customer due diligence (CDD)
- Managing the risk of money laundering and terrorist financing
- Transaction monitoring and filtering framework
- Suspicious activity reporting
- Emerging industry sectors
- Escalations and exits

ICA Advanced Certificate in Practical Customer Due Diligence (CDD)

- Outcomes and success criteria of KYC
- Identification and verification
- Understanding the customer
- Understanding the relationship
- Beneficial ownership
- Understanding the control structure of the entity
- Customer screening
- Risk acceptance
- Enhanced due diligence (EDD)



This course has broadened my horizons and improved my knowledge in technical areas. It has been a valuable investment in my professional development

Blair Miller, RBS

ICA Diplomas

- Advanced level
- Open to those who either hold a degree, an ICA Advanced Certificate or who have three years' relevant work experience
- Nine months' duration
- Four workshops (where offered)
- Three written assignments (each 3,000–3,500 words in length)

ICA International Diploma in Anti Money Laundering

- Anti money laundering (AML) and countering the financing of terrorism (CFT) in context
- Terrorist financing, proliferation financing and sanctions
- Designing a comprehensive AML/CFT risk-based approach for a financial services business
- Risk-based customer due diligence (CDD), customer risk profiling and monitoring
- Governance and leadership
- Suspicious activity reports/suspicious transaction reports and dealing with the authorities

ICA International Diploma in Governance, Risk and Compliance

- Understanding governance, risk and regulatory compliance
- Why we need to understand the regulated environment
- Why are governance and culture essential for effective regulatory compliance risk management?
- The role of the compliance department and the compliance professional
- Risk management as the key to effective compliance
- Case studies

ICA International Diploma in Financial Crime Prevention

- Understanding and managing financial crime
- Practical application of the International Standards*
- The prevention and detection of specific financial crime risks
- Data and information security
- Bribery and corruption
- Electronic crime
- Investigation, prosecution and recovery

*For the UK course, this module is 'Financial Crime – The UK Framework'.

ICA Professional Postgraduate Diplomas

- Expert level
- Open to senior professionals who satisfy the course entry requirements (see www.int-comp.org/entry)
- 9–12 months' duration
- Eight masterclasses held over two weekends in Oxford
- Reflective journal (8 x 1,000–1,500 words)
- Three-hour competency-based interview

“ I am going to rewrite my business risk assessment and really look at our products, risk appetite, mitigation issues, procedures and training – I now feel energised and enthusiastic in my role!

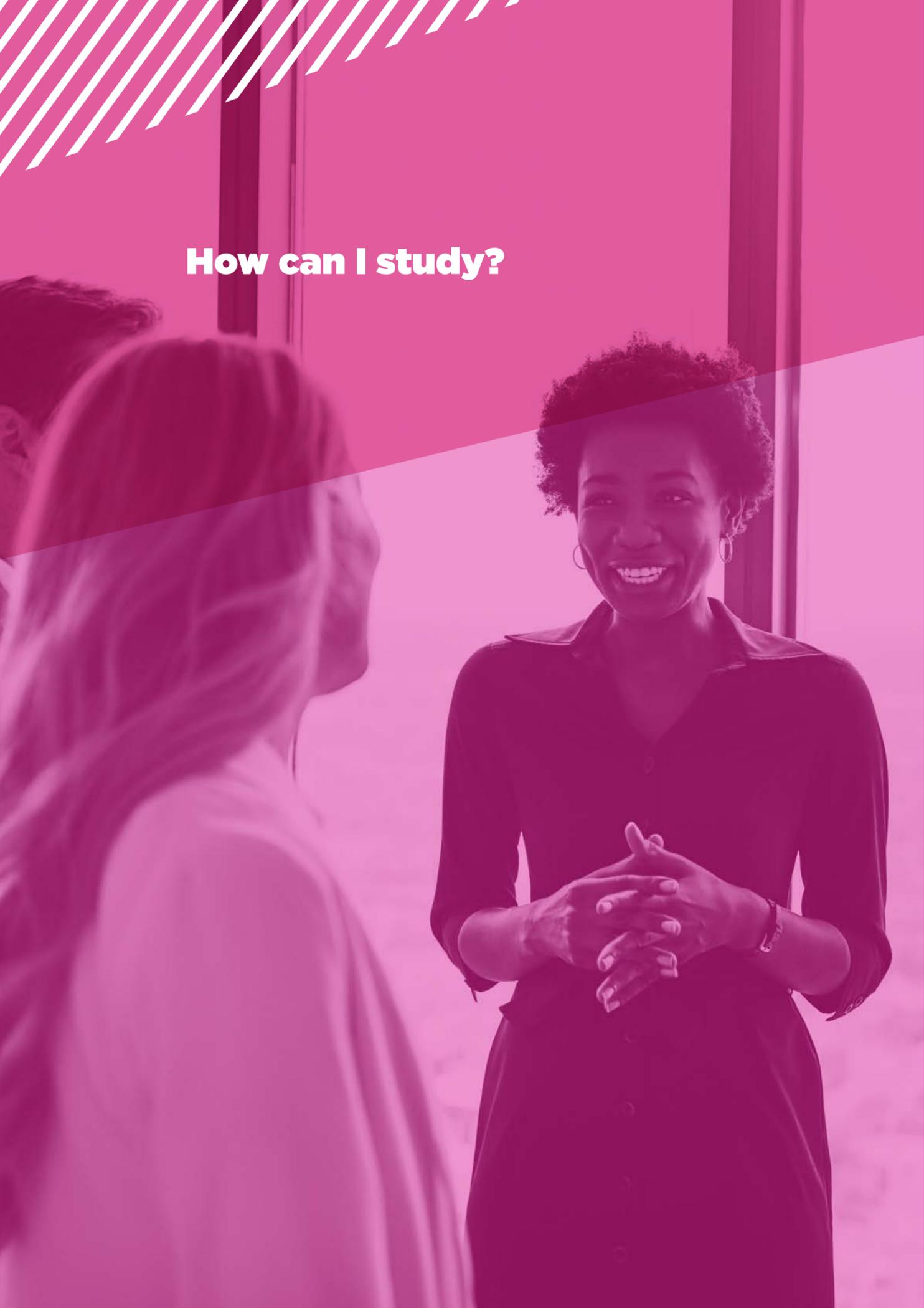
Visit www.int-comp.org/syllabus to download a full course syllabus

ICA Professional Postgraduate Diploma in Governance, Risk and Compliance

- Building and leading an effective GRC leadership team
- Strategic risk management for leaders
- The future of payment services
- Current and emerging regulatory 'Hot Topics'
- GRC leadership
- Using corporate governance requirements for the benefit of the firm
- The effective use of information technology in GRC
- Developments in financial crime

ICA Professional Postgraduate Diploma in Financial Crime Compliance

- Financial crime compliance
- Managing risk and creating value through critical thinking
- Fraud and internal threats
- Cyber security: threats to the organisation
- The effective use of information technology in financial crime compliance (FCC)
- AML/FCC in the context of organised crime and corruption
- De-risking – linking risk assessment to business strategy
- Sanctions: perspectives from the regulator



How can I study?

Balancing work-life commitments can be demanding for busy professionals. With this in mind, we have developed a range of ways in which you can study to suit your lifestyle.

Workshops

Face-to-face workshops are available in many countries. They provide a fantastic opportunity to embed your learning with real-life case studies and practical exercises as well as allowing you to share experiences and network with your peers.

Online learning

If you're based in a country where workshops are not available, you can study via online learning. This is a flexible option so you can study at times convenient to you.

Exam only (for Diplomas)

If you are an experienced practitioner who holds appropriate qualifications, you can apply for the 'exam only' route to an ICA Diploma. This is a fast-track option for those who do not wish to attend workshops nor submit assignments. Where possible, however, we do encourage students to undertake the full version of the course in order to maximise the learning experience and achieve great results.

In-house

We also offer high-quality training solutions to satisfy a wide range of training and education needs for small firms, multinationals and government agencies. Whatever the qualification or bespoke training requirements, and whatever the group size, we will work with you to find the best way to meet your training needs. Our customised, flexible learning will help you get the results you're looking for (as well as make your training budget go further).

Alliance Manchester Business School

The University of Manchester

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1824

The University of Manchester
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Alliance Manchester Business School: Original Thinking Applied

Alliance Manchester Business School was established in 1965 as one of the UK's first two business schools. Today, they are the UK's largest campus-based business and management school, and 'Original Thinking Applied' is at the heart of everything they do.

A full-service business school, they provide industry-focused education to undergraduates, postgraduates and executives. Their influential research impacts business locally, nationally and internationally, and covers

a broad range of areas across four divisions: accounting and finance; innovation management and policy; management sciences and marketing; and people, management and organisations. In 2014 the Research Excellence Framework (REF) ranked them 2nd in the UK for research power.

With centres in Dubai, Hong Kong, São Paulo, Shanghai and Singapore, their reach is truly global. They are one of few institutions to have achieved triple accreditation from AACSB International, AMBA and EQUIS, and the *Financial Times* ranks their MBA 7th in the UK, 4th in Europe and 8th globally.



Your next steps



Download a syllabus

If you'd like to know more about what you'll learn from each course visit www.int-comp.org/syllabus



Discover your course dates

Whether you are looking for the nearest workshops for your course or want to find out when the next online cohort starts, visit www.int-comp.org/course-finder



Contact us

If you have any questions or would like to talk about which course is right for you, get in touch on **+44(0)121 362 7534** or email studentservices@int-comp.org

Alternatively check out our FAQs at www.int-comp.org/faqs



Enrol online

You can enrol online for all courses here www.int-comp.org/enrol

You must be a member of ICA while studying for an ICA qualification and an additional fee applies.



Discuss your in-house requirements

Get in touch on **+44 (0) 0121 362 7518** or email clientservices@int-comp.org

Join in the conversation



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